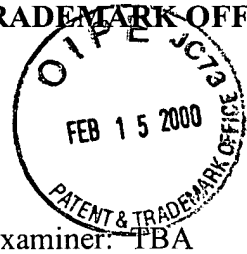


Section #

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98,736-A)



#5

In re Application of: )  
Roderick Hall, et al. )  
Serial No.: 09/441,966 )  
Filed: November 17, 1999 )  
For: A METHOD FOR ACCELERATING )  
THE RATE OF MUCOCILIARY )  
CLEARANCE )

Examiner: TBA

Art Unit: 1652

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:
  - a) Response to the Notice to File Missing Parts
  - b) Executed Inventor's Declaration and copy of U.S. PTO Form 1533
  - c) Return Receipt Postcard

2. With respect to additional fees:

Attached is a check in the amount of \$1,276.00 for surcharge and filing fee.

3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on this 8 day of February, 2000.

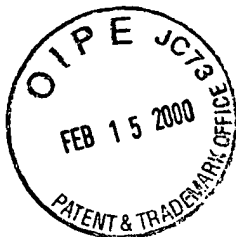
Dated: 2/8/2000

By : [Signature]  
Emily Miao  
Reg. No. 35,285

McDONNELL BOEHNEN  
HULBERT & BERGHOFF  
300 South Wacker Drive  
Chicago, Illinois 60606  
Telephone (312) 913-0001; Fax (312) 913-0002

I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on Feb 9 2000 (Date of Deposit).

on Feb. 8, 2000 (Date of Deposit).  
Date 2/8/2000 Name Shaw



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**(Case No. 98,736-A)**

For: A METHOD FOR ACCELERATING  
THE RATE OF MUCOCILIARY  
CLEARANCE

$$\begin{array}{c} ) \\ ) \\ ) \\ ) \\ ) \\ ) \\ ) \\ ) \\ ) \end{array}$$

Art Unit: 1652

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

In response to the Notice of Missing Parts dated December 14, 1999, attached is an executed Inventors Declaration and fee (surcharge \$130.00 and filing fee of \$1,146.00). A copy of the Notice (Form PTO 1533) is also attached.

Consideration and entry of this response is respectfully requested.

Respectfully submitted,

Dated:

Emily Miao  
Registration No. 35,285

McDONNELL BOEHNEN  
HULBERT & BERGHOFF  
300 South Wacker Drive, Suite 3200  
Chicago, Illinois 60606  
312-913-0001; Facsimile: 312-913-0002



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/441,966 11/17/99 HALL

R 98.736-A

0222/1214  
MCDONNELL BOEHNEN HULBERT & BERHOFF  
300 SOUTH WACKER DRIVE 32ND FLOOR  
CHICAGO IL 60606



NOT ASSIGNED

1652

DATE MAILED:

12/14/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 1140.

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 120 for 7 total claims over 20.

\$        for        independent claims over 3.

\$ 260 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Custom r Service Center  
Initial Patent Examination Division (703) 308-1202

02/18/2000 TTR001-00000113 09441966  
01 C:101 000000  
02 C:103 760.00  
03 C:104 125.00  
04 C:105 260.00  
130.00